

Derive The Energy Equation In Spherical Coordinates Using The Differential Control Volume Depicted Below.

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Introduction

Deriving the energy equation in spherical coordinates is fundamental in analyzing thermodynamic and fluid dynamic problems involving spherical symmetry, such as heat transfer in spherical shells, planetary atmospheres, and nuclear reactors. The process involves applying the principles of conservation of energy to a differential control volume, accounting for the flow of energy via conduction, convection, and work interactions. This derivation requires transforming the general energy conservation equation from Cartesian or cylindrical coordinates into spherical coordinates, which are naturally suited for problems with spherical symmetry.

In this article, we will systematically derive the energy equation in spherical coordinates starting from the fundamental conservation laws. We will carefully define the differential control volume and examine the fluxes across its surfaces. The derivation will involve expressing the divergence of the fluxes and source terms in spherical coordinates, ultimately leading to a comprehensive form of the energy equation suitable for spherical systems.

Fundamentals of the Energy Equation

General Form of the Energy Conservation Equation

The conservation of energy in a control volume can be expressed in differential form as:

- The rate of change of energy within the control volume
- Plus the net energy flux into the control volume
- Equals the net rate of energy addition or removal due to sources or sinks within the control volume

Mathematically, the general energy conservation equation is:

$$\frac{\partial}{\partial t} (\rho e + \frac{1}{2} \rho v^2 + \rho gz) + \nabla \cdot \mathbf{q} = \dot{Q} + \text{work terms}$$

where:

- ρ is the density
- e is the internal energy per unit mass
- $\mathbf{v}$ is the velocity vector
- g is gravitational acceleration
- z is the elevation
- $\mathbf{q}$ is the heat flux vector

- $\dot{Q}$ represents volumetric heat sources

For simplicity, in many problems, kinetic and potential energy terms are combined or neglected depending on the context.

Assumptions and Simplifications

To streamline the derivation, certain assumptions are often made:

- Steady or unsteady flow depending on the problem
- Neglecting viscous dissipation if not significant
- Considering only heat conduction and convection
- Axisymmetric conditions to simplify the mathematics if applicable

In spherical coordinates, the geometrical considerations become critical, especially when dealing with fluxes across the spherical surfaces.

Coordinate System and Differential Control Volume

Spherical Coordinates Definition

The spherical coordinate system (r, θ, ϕ) is defined as:

- r : radial distance from the origin
- θ : polar or zenith angle (measured from the positive z-axis)
- ϕ : azimuthal angle (measured from the x-axis in the x-y plane)

The unit vectors are $\hat{r}$, $\hat{\theta}$, and $\hat{\phi}$, which are mutually perpendicular.

Differential Control Volume in Spherical Coordinates

The differential control volume is a small spherical shell segment characterized by:

- Radial thickness (dr)
- Polar angle segment $(d\theta)$
- Azimuthal angle segment $(d\phi)$

The volume element (dV) is expressed as:

$$dV = r^2 \sin \theta \, dr \, d\theta \, d\phi$$

This small control volume allows us to analyze local energy exchanges.

Derivation of the Energy Equation in Spherical Coordinates

Step 1: Write the Integral Conservation Law

The total energy within the differential control volume at time (t) is:

$$E = \int_V \left(\rho e + \frac{1}{2} \rho v^2 + \rho g z \right) dV$$

Applying the conservation principle:

$$\frac{dE}{dt} = \dots$$

$\frac{\partial E}{\partial t} + \text{Net energy flux across the control surface} = \text{Sources within the control volume}$

]

For simplicity, and because often kinetic and potential energy change are negligible or treated separately, focus on internal energy and heat transfer.

Step 2: Express the Rate of Change of Energy

The rate of change of energy inside the control volume is:

[

$$\frac{\partial}{\partial t} \int_V (\rho e) dV$$

]

When considering the entire control volume, this becomes:

[

$$\frac{\partial}{\partial t} \int_V (\rho e) dV$$

]

In differential form, the local rate of change per unit volume is $\frac{\partial (\rho e)}{\partial t}$.

Step 3: Fluxes of Energy Across Surfaces

Energy enters and leaves the control volume via:

- Convection: transport by fluid motion ($\rho \mathbf{e} \mathbf{v}$)
- Conductive heat flux: $\mathbf{q}$

The net flux across the control surface is obtained by surface integrals over the spherical surface:

$$\oint_S \left(\rho \mathbf{e} \cdot \mathbf{v} \cdot \hat{\mathbf{n}} \right) dA + \oint_S \mathbf{q} \cdot \hat{\mathbf{n}} \, dA$$

where $\hat{\mathbf{n}}$ is the outward normal vector.

In spherical coordinates, these surface integrals become sums over the spherical surface at specific (r, θ, ϕ) .

Step 4: Divergence Theorem Application

Applying the divergence theorem transforms surface integrals into volume integrals:

$$\nabla \cdot (\rho \mathbf{e} \cdot \mathbf{v}) \quad \text{and} \quad \nabla \cdot \mathbf{q}$$

Thus, the energy conservation equation becomes:

$$\frac{\partial (\rho e)}{\partial t} + \nabla \cdot (\rho \mathbf{e} \cdot \mathbf{v}) = - \nabla \cdot \mathbf{q} + \dot{Q}$$

where $\dot{Q}$ is the volumetric heat source term.

Expressing the Divergence in Spherical Coordinates

Velocity Components and Flux Terms

The velocity vector in spherical coordinates is:

$$\mathbf{v} = v_r \hat{r} + v_\theta \hat{\theta} + v_\phi \hat{\phi}$$

The divergence of a vector $\mathbf{A} = A_r \hat{r} + A_\theta \hat{\theta} + A_\phi \hat{\phi}$ in spherical coordinates is:

$$\nabla \cdot \mathbf{A} = \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 A_r) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \theta} (\sin \theta A_\theta) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \phi} A_\phi$$

Applying this to the fluxes yields the explicit form of the divergence terms.

Heat Flux in Spherical Coordinates

Fourier's law provides the conductive heat flux:

$$\mathbf{q} = -k \nabla T$$

The temperature gradient in spherical coordinates is:

$$\nabla T = \frac{\partial T}{\partial r} \hat{r} + \frac{1}{r} \frac{\partial T}{\partial \theta} \hat{\theta} + \frac{1}{r \sin \theta} \frac{\partial T}{\partial \phi} \hat{\phi}$$

Therefore, the heat flux components are:

$$q_r = -k \frac{\partial T}{\partial r}$$

$$q_\theta = -k \frac{1}{r} \frac{\partial T}{\partial \theta}$$

$$q_\phi = -k \frac{1}{r \sin \theta} \frac{\partial T}{\partial \phi}$$

Substituting these into the divergence expression allows the calculation of the total heat conduction term.

Final Form of the Energy Equation in Spherical Coordinates

Combining all the above, the energy conservation equation in differential form in spherical coordinates becomes:

$$\frac{\partial (\rho e)}{\partial t} + \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 \rho e v_r) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \theta} (\sin \theta \rho e v_\theta) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \phi} (\sin \theta \rho e v_\phi) = \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 k \frac{\partial T}{\partial r}) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \theta} (k \frac{\partial T}{\partial \theta}) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \phi} (k \frac{\partial T}{\partial \phi})$$

$\frac{\partial}{\partial \theta}$

Frequently Asked Questions

What is the primary purpose of deriving the energy equation in spherical coordinates using a differential control volume?

The primary purpose is to formulate the conservation of energy principles for systems with spherical symmetry, enabling analysis of heat transfer, fluid flow, or other energy-related phenomena in spherical geometries.

How is the differential control volume defined in a spherical coordinate system?

In spherical coordinates, the differential control volume is typically defined as a small element with dimensions dr (radial), $r d\theta$ (polar), and $r \sin\theta d\phi$ (azimuthal), representing a tiny volume element in the spherical space.

What are the main steps involved in deriving the energy equation in spherical coordinates?

The main steps include: expressing conservation of energy in differential form, applying the divergence theorem, incorporating fluxes of heat and work, and integrating over the differential control volume to obtain the local energy balance equation.

How do flux terms differ in the energy equation when expressed in spherical coordinates?

Flux terms in spherical coordinates account for radial, polar, and azimuthal components, involving derivatives with respect to r , θ , and ϕ , and include geometric factors like r^2 and $\sin\theta$ to properly

represent flux divergence in spherical geometry.

Why is it important to include geometric factors like r^2 and $\sin\theta$ in the energy equation derivation?

These geometric factors are essential because they account for the change in surface area and volume elements with radius and angles in spherical coordinates, ensuring the conservation laws are correctly represented in the coordinate system.

Can the derived energy equation in spherical coordinates be applied to transient and steady-state problems?

Yes, the energy equation derived in spherical coordinates is general and can be applied to both transient (time-dependent) and steady-state (time-independent) problems by including or neglecting the time derivative term accordingly.

What assumptions are typically made when deriving the energy equation in spherical coordinates?

Common assumptions include neglecting body forces, assuming a continuous medium, considering isotropic material properties, and sometimes assuming symmetry conditions to simplify the derivation.

How does the energy equation in spherical coordinates relate to real-world applications?

It is essential in modeling phenomena such as heat transfer in spherical reactors, planetary atmospheres, astrophysical objects, and any system where spherical symmetry influences energy distribution.

What role do boundary conditions play in solving the energy equation in spherical coordinates?

Boundary conditions specify known values or fluxes at the system boundaries, enabling the solution of the differential energy equation to determine temperature, heat flux, or energy distribution within the spherical domain.

Are there any common challenges faced when deriving or solving the energy equation in spherical coordinates?

Yes, challenges include handling complex geometric terms, ensuring proper boundary condition application, managing variable material properties, and solving the resulting partial differential equations analytically or numerically.

Additional Resources

Deriving the Energy Equation in Spherical Coordinates Using the Differential Control Volume

Understanding the energy transfer processes within a fluid system is fundamental to many disciplines, including thermodynamics, fluid mechanics, and engineering design. When analyzing such systems, especially those with spherical symmetry—such as stars, planetary atmospheres, or spherical reactors—formulating the energy equation in spherical coordinates becomes essential. This derivation provides insight into how energy fluxes, sources, and sinks interact within the system, enabling engineers and scientists to model complex phenomena accurately. This article offers a comprehensive exploration of deriving the energy equation in spherical coordinates, emphasizing the use of a differential control volume to facilitate the process.

Introduction to the Energy Equation in Fluid Systems

The energy equation describes how energy is conserved within a fluid, accounting for various modes of energy transfer such as conduction, convection, radiation, and work done by or on the system. In fluid mechanics, the most general form of the energy conservation law encompasses internal energy, kinetic energy, and potential energy, along with heat transfer and work interactions.

In Cartesian coordinates, the derivation of the energy equation is often straightforward due to the simplicity of the coordinate system. However, many physical systems exhibit spherical symmetry, requiring the use of spherical coordinates (r, θ, ϕ) to accurately describe spatial variations. The complexities introduced by curvature and angular dependencies necessitate a careful, systematic derivation to correctly account for the fluxes and source terms.

Coordinate System and Differential Control Volume

Spherical Coordinate System

Spherical coordinates are defined by three variables:

- Radial distance (r): Distance from the origin to a point.
- Polar angle (θ): Angle from the positive z-axis ($0 \leq \theta \leq \pi$).
- Azimuthal angle (ϕ): Angle in the x-y plane from the x-axis ($0 \leq \phi < 2\pi$).

The position vector in these coordinates is:

$\mathbf{r}$

$$\mathbf{r} = r \hat{\mathbf{r}}$$

]

The differential volume element in spherical coordinates is:

[

$$dV = r^2 \sin \theta \, dr \, d\theta \, d\phi$$

]

This expression reflects the curvature and angular dependence of volume elements, which are crucial when formulating conservation laws.

Differential Control Volume

To derive the energy equation, consider a differential spherical control volume bounded by:

- Radial surfaces at (r) and $(r + dr)$,
- Polar surfaces at (θ) and $(\theta + d\theta)$,
- Azimuthal surfaces at (ϕ) and $(\phi + d\phi)$.

This differential control volume allows us to analyze local energy fluxes, sources, and sinks with high spatial resolution.

Fundamental Principles Underpinning the Derivation

Deriving the energy equation hinges on the conservation of energy principle, which, in differential form, states that the rate of change of energy within the control volume equals the net energy flux into the

volume plus any internal sources or sinks.

Mathematically,

$$\frac{\partial}{\partial t}(\text{Energy within CV}) + \text{Net energy flux across CV boundaries} = \text{Sources} - \text{Sinks}$$

Key assumptions often include:

- The fluid is continuous and differentiable.
- Properties such as density, temperature, and velocity are sufficiently smooth.
- The flow may be steady or unsteady, laminar or turbulent, depending on the system.

Step-by-Step Derivation of the Energy Equation in Spherical Coordinates

1. Expressing the Total Energy per Unit Volume

The total energy density (e_{total}) at a point in the fluid comprises:

- Internal energy (u) ,
- Kinetic energy $(\frac{1}{2} \mathbf{v} \cdot \mathbf{v})$,
- Potential energy (gz) (if gravity is considered).

For simplicity, we focus on internal and kinetic energy:

$$\rho e_{\text{total}} = \rho \left(u + \frac{1}{2} v^2 \right)$$

where:

- ρ is the fluid density,
- v is the magnitude of the velocity vector $\mathbf{v} = v_r \hat{\mathbf{r}} + v_\theta \hat{\boldsymbol{\theta}} + v_\phi \hat{\boldsymbol{\phi}}$.

2. Conservation of Energy in Differential Form

The differential form of the conservation of energy for a control volume in fluid flow is:

$$\frac{\partial}{\partial t} (\rho e_{\text{total}}) + \nabla \cdot (\rho e_{\text{total}} \mathbf{v}) = - \nabla \cdot \mathbf{q} + \dot{Q}$$

where:

- $\mathbf{q}$ is the heat flux vector,
- $\dot{Q}$ represents volumetric heat sources or sinks.

This equation states that the local rate of change of energy plus the divergence of energy flux due to convection equals the divergence of heat conduction flux plus internal sources.

3. Expressing the Divergence in Spherical Coordinates

The divergence operator in spherical coordinates (for a vector $\mathbf{A} = A_r \hat{\mathbf{r}} + A_\theta \hat{\boldsymbol{\theta}} + A_\phi \hat{\boldsymbol{\phi}}$) is:

$$\nabla \cdot \mathbf{A} = \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 A_r) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \theta} (\sin \theta A_\theta) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \phi} A_\phi$$

Applying this to the convective energy flux $\rho e_{\text{total}} \mathbf{v}$, each component's flux divergence is analyzed separately.

4. Flux Terms in the Radial, Polar, and Azimuthal Directions

The energy flux due to convection in spherical coordinates becomes:

$$\nabla \cdot (\rho e_{\text{total}} \mathbf{v}) = \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 \rho e_{\text{total}} v_r) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \theta} (\sin \theta \rho e_{\text{total}} v_\theta) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \phi} (\rho e_{\text{total}} v_\phi)$$

Similarly, the heat conduction flux $\mathbf{q}$ in Fourier's law becomes:

$$\nabla \cdot \mathbf{q} = -k \nabla T$$

where k is the thermal conductivity, and T is the temperature.

The divergence of $\mathbf{q}$ in spherical coordinates is:

$$\nabla \cdot \mathbf{q} = \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 q_r) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \theta} (\sin \theta q_\theta) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \phi} q_\phi$$

5. Assembling the Energy Equation

Putting all elements together, the differential form of the energy equation in spherical coordinates becomes:

$$\frac{\partial}{\partial t} (\rho e_{\text{total}}) + \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 \rho e_{\text{total}} v_r) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \theta} (\sin \theta \rho e_{\text{total}} v_\theta) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \phi} (\rho e_{\text{total}} v_\phi) = - \left[\frac{1}{r^2} \frac{\partial}{\partial r} (r^2 q_r) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \theta} (\sin \theta q_\theta) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \phi} q_\phi \right] + \dot{Q}$$

This comprehensive equation governs the evolution of energy within a differential spherical control volume, incorporating convective fluxes, conductive fluxes, and volumetric sources.

Special Cases and Simplifications

The general form of the energy equation can be specialized based on assumptions about the flow and system:

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